

1. Determine whether the functional

$$F(u) = \int_0^1 (\dot{u}^2 + u\dot{u} + u^2 + u) dx$$

has the minimum in the class  $C^1([0, 1])$ .

$$\begin{aligned} \text{ELE: } (2\dot{u} + u)' &= \dot{u} + 2u + 1 \rightsquigarrow 2\ddot{u} + \cancel{\dot{u}} = \cancel{\dot{u}} + 2u + 1 \\ \ddot{u} &= u + \frac{1}{2} \rightsquigarrow u(x) = -\frac{1}{2} + a \cosh x + b \sinh x \\ \dot{u}(x) &= a \sinh x + b \cosh x \end{aligned}$$

$$\text{BCs: } 2\dot{u} + u = 0 \text{ for } x \in \{0, 1\}$$

$$x=0 : 2b - \frac{1}{2} + a = 0$$

$$x=1 : 2a \sinh 1 + 2b \cosh 1 - \frac{1}{2} + a \cosh 1 + b \sinh 1 = 0$$

$$\begin{cases} a + 2b = \frac{1}{2} \\ (2\sinh 1 + \cosh 1)a + (2\cosh 1 + \sinh 1)b = \frac{1}{2} \end{cases} \quad \begin{aligned} \text{Det} &= -3\sinh 1 \neq 0 \\ \text{The solution is unique} \end{aligned}$$

The corresponding  $u_0(x)$  is the unique minimum point  
Indeed

$$\begin{aligned} F(u_0 + v) &= F(u_0) + \underbrace{\int_0^1 [(2\dot{u}_0 + u_0)\dot{v} + (\ddot{u}_0 + 2u_0 + 1)v] dx}_{=0 \text{ because of ELE + BCs}} + \underbrace{\int_0^1 (\dot{v}^2 + v^2 + v\dot{v}) dx}_{\geq 0 \text{ (quadratic form)}} \\ &\geq F(u_0) \end{aligned}$$

with equality if and only if  $\dot{v}^2 + v^2 + v\dot{v} = 0$  in  $[0, 1]$ . Since the quadratic form is positive, this happens if and only if  $v \equiv 0$ .  
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2. Let us consider the boundary value problem

$$u'' = -\frac{x^3}{u^3}, \quad u(0) = 1, \quad u(2) = 3.$$

- (a) Discuss existence, uniqueness and regularity of positive solutions.
- (b) Determine the minimum of the solution in the interval  $[0, 2]$ .

(a) Let us consider the minimum problem

$$\min \left\{ \underbrace{\int_0^2 \left( \frac{1}{2} u'^2 + \frac{1}{2} \frac{x^3}{u^2} \right) dx}_{F(u)} : u \in H^1((0,2)), u \geq 0, \text{ DBC} \right\}$$

At this level we admit the value  $+\infty$ .

- Existence follows from the direct method. From  $F(u_n) \leq M$  we obtain a unif. bound on  $\|u_n\|_{L^2}$ , and also a unif. bound on  $\|u_n\|_{L^\infty}$  due to the DBCs. In the usual way,  $u_{n_k} \rightharpoonup u_\infty$  weakly  $L^2$  and  $u_{n_k} \rightarrow u_\infty$  unif.

LSC follows from LSC of the conv. wrt to weak convergence and from Fatou's lemma for the other term (we cannot simply exploit unif. conv. because  $u$  is in the denominator).

We observe also that DBCs and condition  $u \geq 0$  are stable wrt unif. convergence.

- Uniqueness follows in the usual way (every pos. sol. is a min point, and the minimizer is unique due to ...)
- Truncation argument  $\Rightarrow u(x) \geq 1$  in  $[0, 2]$  (this answers also point (b)).
- Regularity. Once we know that  $u(x)$  is bounded away from 0 we can compute ELE as always, obtaining that

$$(u')' = -\frac{x^3}{u^3} \quad (\text{weak derivative of weak derivative})$$

Now the usual bootstrap argument leads to  $u \in C^\infty$ .

Remarks

- Before the truncation argument we are not allowed to compute  $F(u+tv)$  for a large enough class of test functions.
- For classical solutions point (b) follows also from a concavity argument.

3. Let us set, for every  $\ell > 0$ , the problem

$$\inf \left\{ \int_0^\ell \arctan(\dot{u}^2 - u^2) dx : u \in C^1([0, \ell]), u(0) = u(\ell) = 0 \right\}.$$

- (a) Determine for which values of  $\ell$  the function  $u_0(x) \equiv 0$  is a weak local minimum.
- (b) Determine for which values of  $\ell$  the function  $u_0(x) \equiv 0$  is a strong local minimum.
- (c) Determine the infimum as a function of  $\ell$ .

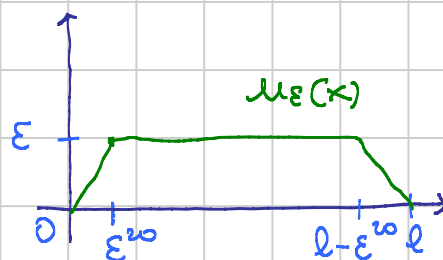
(a)  $\ell \in (0, \pi)$  The second variation is  $Q(v) = 2 \int \dot{v}^2 - v^2$

- If  $\ell \in (0, \pi)$  then  $u_0(x)$  satisfies (E) + (L<sup>+</sup>) + (J<sup>+</sup>), and therefore it is a WLM.
- If  $\ell > \pi$  then  $u_0(x)$  satisfies (L<sup>+</sup>) but not (J), and therefore it cannot be a WLM.
- If  $\ell = \pi$ , assume that  $u_0(x)$  is a WLM. Then  $\varepsilon \sin x$  would be a WLM as well for  $\varepsilon$  small, but this is not possible because it does not satisfy (E) (of course some computations are needed)

(b)  $u_0(x)$  is **NEVER** a SLM.

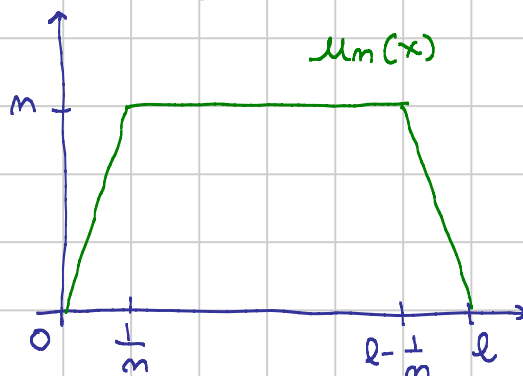
A better candidate in any neighborhood of  $u_0(x)$  is shown in the figure.

Indeed  $F(u_\varepsilon) = -\ell \varepsilon^2 + o(\varepsilon^2)$ .



(c) The infimum is  $-\frac{\pi}{2} \ell$  for every  $\ell > 0$

A possible minimizing sequence is shown below.



Remark In both cases we can obtain smooth sequences by "rounding off" the corners.

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4. For every real number  $m > 0$ , let us set

$$J(m) := \inf \left\{ \underbrace{\int_0^1 (u^{19} - \sin(u^2)) \, dx}_{F(u)} : u \in C_c^1((0,1)), \int_0^1 |\dot{u}|^7 \, dx \leq m \right\}.$$

(a) Determine whether there exists  $m > 0$  such that  $J(m) = 0$ .

(b) Determine for which real values of  $\alpha$  it turns out that

$$\lim_{m \rightarrow 0^+} \frac{J(m)}{m^\alpha} = 0.$$

(c) Determine for which real values of  $\beta$  it turns out that

$$\lim_{m \rightarrow +\infty} \frac{J(m)}{m^\beta} = 0.$$

(a) **NO** Take any function  $u_0 \in C_c^1((0,1))$  with  $u_0 \not\equiv 0$ , and consider  $u_\varepsilon(x) = \varepsilon u_0(x)$ . When  $\varepsilon > 0$  is small enough it turns out that

$$F(u_\varepsilon) = -\varepsilon^2 \int_0^1 u_0(x)^2 + o(\varepsilon^2) < 0 \quad \text{and} \quad \int_0^1 |\dot{u}_\varepsilon|^7 \, dx \leq m.$$

Therefore  $J(m) \leq F(u_\varepsilon) < 0$ .

(b)  **$\alpha < \frac{2}{7}$**  with the variable change  $u = \varepsilon^{1/7} v$  we obtain that

$$J(m) = m^{2/7} \underbrace{\sup \left\{ \int m^{17/7} v^{19} - \frac{\sin(m^{2/7} v^2)}{m^{2/7}} : \int |\dot{v}|^7 \leq 1 \right\}}$$

$$\rightarrow - \inf \left\{ \int v^2 : \int |\dot{v}|^7 \leq 1 \right\} \in (-\infty, 0)$$

The verification of  $\Gamma$ -convergence is standard (easy direct method)

$\rightarrow$  the first term tends to 0 uniformly

$\rightarrow$  the second one is bounded from below by  $-v^2$

(c)  **$\alpha > \frac{19}{7}$**  The same variable change as before gives that

$$J(m) = m^{19/7} \underbrace{\sup \left\{ \int v^{19} - \frac{\sin(m^{2/7} v^2)}{m^{19/7}} : \int |\dot{v}|^7 \leq 1 \right\}}$$

$$\rightarrow \sup \left\{ \int v^{19} : \int |\dot{v}|^7 \leq 1 \right\} \in (0, +\infty)$$

The verification of  $\Gamma$ -convergence is even simpler (actually in this case we have uniform convergence).

Remark In the  $\Gamma$ -conv. results we have extended the functionals to  $+\infty$  for all functions  $u \in L^2$  that are not in  $C_c^1$  and/or do not satisfy the integral constraint.